

Rules of the OIA Scheme

Consultation on draft Rules to take effect from early April 2018

Background

The Office of the Independent Adjudicator for Higher Education (OIA) needs to change its Scheme Rules as a result of provisions in the Higher Education and Research Act 2017 (HERA 2017) which come into force in Spring 2018 and which will result in changes to our membership.

We are also updating the tone and style of the Rules to ensure that they are clear, transparent and accessible, and that they effectively communicate our purpose and review processes.

Thank you for taking part in this consultation, which outlines the principal proposed new Rules of the Scheme. We greatly value and appreciate your participation. The <u>draft new Rules</u> can be found in the appendix to this consultation document. The current Rules can be found on our <u>website</u>.

How to respond

Please respond by completing the online form at <u>https://www.surveymonkey.co.uk/r/Rules18</u>, or by filling in the response form and emailing your comments to <u>consultation@oiahe.org.uk.</u>

The closing date for responses is 28 February 2018.

SECTION 1 Changes resulting from the Higher Education and Research Act 2017

Under the Higher Education and Research Act 2017 (HERA 2017) the Office for Students (OfS) comes into operation on 1 April 2018. The OfS will manage the register of English Higher Education Providers (OfS register). The OfS register is divided into three sections (Registered Basic, Approved and Approved (fee cap) each with different conditions and benefits). Becoming a member of the OIA is a condition of registration for all higher education providers on the OfS register. Many of the higher education providers on the OfS register will already be OIA members under previous legislation but these changes will bring other providers into OIA membership and we need to amend the OIA Scheme Rules to reflect the new legislation.

1.1 Higher education providers and 'qualifying 'institutions'

Under the current OIA Rules 'Member Higher Education Provider' or 'Member HE Provider' means a 'qualifying institution' under part 2 of the Higher Education Act 2004. HERA 2017 amends the 2004 Act and introduces additional categories of 'qualifying institution' for the purposes of the OIA Scheme; (i) providers on the new OfS register; and (ii) providers in England which are not already qualifying institutions and which deliver higher education courses leading to an award of an OIA member in England. The new Rules set out that:

- Where we refer to higher education providers, we mean those providers which are members of the OIA Scheme (introduction to the new Rules).
- A higher education provider becomes a member of the OIA automatically if it is a 'qualifying institution' (**new Rule 3.1**).

- Any body providing higher education which is not a 'qualifying institution' may apply to become a member of the OIA (**new Rule 3.3**).
- We cannot review a complaint unless the higher education provider is a member when we receive the student's Complaint Form (**new Rule 5.5**).

1.2 Transitional institutions

The current OIA Rules state that in the event that a higher education provider ceases to be a qualifying institution it continues to be member of the OIA Scheme for 12 months after that event in respect of acts or omissions that occurred during the academic year in which it ceased to be a qualifying institution. Under HERA 2017 a higher education provider that ceases to be a qualifying institution is a 'transitional institution remains an OIA member for a period of 12 months after it stopped being a qualifying institution (**new Rule 3.2**) but only in respect of acts and omissions which occurred before it stopped being a qualifying institution: **new Rule 5.6** says that we cannot review a complaint about a transitional institution unless the events complained about arose before the date it became a transitional institution.

1.3 Who can complain to the OIA?

New Rule 2.2 sets out who can complain and what we mean by the term 'student' to reflect the new definition of a qualifying institution and what constitutes a higher education course:

- A student is someone who is or was registered at a higher education provider, or who is or was studying for a higher education qualification (**new Rule 2.2**).
- Students at some providers can only complain if they are on a higher education course (new Rule 2.4). In addition to being a member of the OIA, Registered Basic providers must offer courses which match the academic standards set out in Level 4 or above of the Framework of Higher Education Qualifications (FHEQ). Many of the providers brought into membership of the OIA by HERA 2017 offer a diverse range of courses so it is important we are clear what constitutes higher education provision. We will include a definition of higher education course in the guidance which will accompany the Rules.
- New Rule 2.3 sets out that the term 'student' includes trainees and apprentices. Under our Rules, the OIA cannot review a complaint about a student employment matter (new Rule 5.5). Our understanding is that the new definitions of a qualifying institution in HERA 2017 are not intended to capture employers in an apprenticeship arrangement and we can consider complaints from students on apprenticeships where responsibility for the issues complained about lies with the higher education provider and not the apprentice's employer.

SECTION 1: Consultation question

Are the new Rules in this Section clear? Please make any comments Section 1 sets out the changes we propose making to the OIA Scheme Rules to reflect the new legislation and regulatory regime. In accordance with our <u>Values</u>, we have also taken this opportunity to improve the clarity and transparency of the Rules and our processes. In particular we have made the following changes which require either an addition or amendment to the existing Rules.

2.1 Complaints about admission

The current OIA Rules set out that we cannot review complaints about admission to a higher education provider. **New Rule 5.1** makes it clear that although we cannot review a complaint about an application for admission to a higher education provider, we can consider a complaint from a former student of that higher education provider who is applying for readmission provided that the complaint is directly connected to their time as a student.

2.3 Timeframes

Under the current OIA Rules, the student and the higher education provider have the opportunity to make representations on any proposed Recommendations within a time limit set by the OIA Reviewer. To ensure that the Review process is transparent, **new Rule 14.5** makes it clear that the student and the higher education provider will have 14 days to comment on the proposed Recommendations and that we may extend that timeframe where we consider it is appropriate to do so.

The current OIA Rules say that a request to reopen a Review should be made within a reasonable period of time after the Complaint Outcome has been issued and our <u>Guidance Note: Eligibility and the Rules</u> explains that we will normally consider such a request provided it is brought within 28 days. To ensure that the Rules are clear and transparent, **new Rule 15.3** makes it clear that any request to reopen must normally be made within 28 days of the date of the Complaint Outcome, or the date we confirm any Recommendations that we have made.

2.4 Legal proceedings and the OIA review and Recommendations

New Rule 2.5 makes it clear that making a complaint to us does not prevent a student from bringing legal proceedings against the higher education provider if they are dissatisfied with the outcome of our review. We are required to include this information under the EU Alternative Dispute Regulations (ADR) Regulations because we are the approved ADR body for higher education complaints.

The current OIA Rules set out the expectation that a higher education provider will comply with any Recommendation in a full and prompt manner. A new Rule has been added to make it clear that students may choose whether or not to accept a Recommendation that we make (**new Rule 14.8**). We are required to include this information under the EU ADR Regulations because we are the approved ADR body for higher education complaints. To ensure transparency and clarity at all stages of the process and even after the conclusion of the review, **new Rule 14.9** explains that if a higher education provider makes an offer to the student in line with our Recommendations and the student accepts the offer in full and final settlement of their complaint, the student will not normally be able to pursue legal proceedings about the same issues.

2.5 Suspending or terminating a review

New Rule 16.1.6 sets out that we may terminate or suspend a review if we decide that the student has deliberately sought to mislead us or the higher education provider about their complaint.

2.6 Continuing with a review

Under the current OIA Rules once the Review process is completed, we may reopen a Review and issue a revised Complaint Outcome and/or revised Recommendations if we decide there is good reason to do so. **New Rule 15.2** makes it clear that we can do this even though the review process may not be complete because, for example, the student and higher education provider have the opportunity to comment on our proposed Recommendations.

2.7 Definitions

The current OIA Rules set out definitions and interpretations of the terms that are used in the Rules. In the new Rules we include some key definitions and we propose to issue guidance to accompany the Rules which will include definitions of all the terms underlined in the Rules. Each underlined term will link to the relevant section of the guidance.

2.8 Tone and style

We have updated the tone and style of the Rules throughout, to simplify the language and make sure that they are clear and accessible. Apart from those we have identified above, in general the changes that we have made to the language do not alter the meaning of the Rules.

SECTION 2: Consultation question

Do you agree with these changes? Are the new Rules in this Section clear? Please make any comments

SECTION 3: Consultation question

Do you have any other comments on the proposed new Rules?



Rules 2018: draft for consultation

Introduction

The Office of the Independent Adjudicator for Higher Education (OIA) runs the <u>student complaints¹ scheme</u>. These Rules of the student complaints scheme came into effect on [] 2018. We will deal with any complaints which we receive on or after that date under these Rules. If we received the complaint before that date we will deal with it under the <u>Rules which applied when we received it</u>.

Where we refer to <u>higher education providers</u> in these Rules, we mean those providers which are members of the OIA scheme.

1. What we do

We review student complaints independently, impartially and transparently, and use our learning from complaints to help improve policies and practices in higher education.

2. Who can complain

- 2.1. Students and former students can complain to us about their higher education provider.
- 2.2. A student is someone who is or was <u>registered</u> at a <u>higher education provider</u>, or who is or was studying for a <u>higher education qualification</u>.
- 2.3. The term "student" includes trainees and apprentices.
- 2.4. Students at some providers can only complain if they are on a higher education course.
- 2.5. Making a complaint to us does not prevent a student from bringing legal proceedings against the higher education provider if they are dissatisfied with the outcome of our review.

3. Higher education provider

- 3.1. A higher education provider becomes a member of the OIA automatically if it is a "<u>qualifying</u> <u>institution</u>". Qualifying institution is defined by <u>section 11 of the Higher Education Act 2004</u>.
- 3.2. A higher education provider which stops being a qualifying institution will continue to be member of the OIA for a period of <u>12 months after it stopped being a qualifying institution</u>. This is called a "transitional institution".
- 3.3. Any body providing <u>higher education</u> which is not a "qualifying institution" <u>may apply to become a</u> <u>member of the OIA</u>.
- 3.4. All members of the OIA must comply with the Rules and their procedures and regulations must be compatible with the Rules.

4. Complaints we can review

4.1. A student or former student can complain to us about <u>anything their higher education provider has</u> <u>done or failed to do</u>.

¹ All underlined words and phrases will have associated guidance notes.

- 4.2. A student or former student can complain to us about the higher education provider where they are or were studying.
- 4.3. A student or former student can complain to us about the higher education provider which grants the award for which the student is or was studying.

5. Complaints we cannot review

- 5.1. We cannot review a complaint about an application for <u>admission</u> to a higher education provider unless the person complaining is a former student of that higher education provider who is applying for re-admission, and the complaint is <u>directly connected to their time as a student</u>.
- 5.2. We cannot review a complaint about the <u>academic judgment</u> of a higher education provider.
- 5.3. We cannot review a complaint about a student employment matter.
- 5.4. We cannot review a complaint about a higher education provider if it was not a member when the events complained about arose unless those events were part of a <u>series of events</u> which continued after the provider became a member.
- 5.5. We cannot review a complaint unless the higher education provider is a member when <u>we receive</u> <u>the student's Complaint Form²</u>.
- 5.6. We cannot review a complaint about a <u>transitional institution</u> unless the events complained about occurred before the date it became a transitional institution.
- 5.7. We cannot review a complaint about something which has already been the subject of <u>legal</u> <u>proceedings</u> in a court or tribunal unless those proceedings have been put on hold.
- 5.8. The OIA is the <u>alternative dispute resolution body</u> for higher education complaints. We cannot review a complaint about something that has already been considered by another alternative dispute resolution body.
- 5.9. We cannot review a complaint made by the <u>personal representatives</u> of a student who has died unless <u>we received the Complaint Form</u> during the student's lifetime.

6. Complaints we may decide not to review

- 6.1. We may decide not to review a complaint if we decide that it is about something which <u>does not</u> <u>affect the person making the complaint as a student.</u>
- 6.2. We may decide not to review a complaint if we decide that the complaint has <u>no serious purpose</u>, <u>or is intended to cause disruption or annoyance</u>, or if the student is seeking an unrealistic remedy.
- 6.3. We may decide not to review a complaint if we decide that to do so would <u>seriously damage our</u> <u>ability to run our processes effectively</u>.

7. Completing the higher education provider's internal processes

- 7.1. We will not review a complaint unless the higher education provider has had the opportunity to look at it first. This means that normally the student needs to have <u>completed the provider's internal</u> <u>processes</u> before complaining to us.
- 7.2. The higher education provider will send the student a letter confirming when the student has completed the provider's internal processes. This letter is called a "<u>Completion of Procedures</u> <u>Letter</u>" and must comply with our <u>Guidance on Completion of Procedures Letters</u>.
- 7.3. In <u>exceptional circumstances</u> we may decide to review a complaint when the student has not completed the higher education provider's internal processes and/or does not have a <u>Completion of Procedures Letter</u>.

² Guidance on references to "receiving the Complaint Form" will state that where a student is unable to complete the Complaint Form for reasons connected to a disability we may accept the complaint in another format provided it is made within the relevant timeframe.

7.4. We will not normally review a complaint which arises from information or evidence which the student has obtained after the date of the Completion of Procedures Letter or, where they do not have a Completion of Procedures Letter, more than 28 days after the student stops being a student.

8. Time limits

8.1. We cannot review a complaint unless <u>we receive a Complaint Form</u> from the student within <u>12</u> <u>months</u> of the date the higher education provider told the student its final decision. The 12 month period will normally run from the date of the Completion of Procedures Letter.

Review process

9. What does review mean?

- 9.1. When we have decided that we can consider a complaint we review it. This means obtaining all the relevant information we need to make a decision, and can include trying to <u>settle</u> the complaint.
- 9.2. Our review does not have to follow the same rules of evidence as legal proceedings and we do not have to follow decisions we have made about other complaints.

10. Initial consideration of the complaint

- 10.1. A complaint should normally be made in writing, by submitting the completed <u>Complaint Form</u> together with relevant documents.
- 10.2. We will send a copy of the Complaint Form and, where appropriate, the accompanying documents to the higher education provider at this stage.
- 10.3. We will decide whether the complaint is one which we can review under these Rules.
- 10.4. We may ask for information from the student and/or the higher education provider to help us decide whether we can review the complaint.
- 10.5. We will tell the student and the higher education provider within three weeks of receiving the Complaint Form, or the further information we have requested, whether or not we can review the complaint. If we decide that we cannot review the complaint, or parts of it, we will explain why.
- 10.6. The student or the higher education provider may ask us to <u>reconsider our decision</u> that we can or cannot review the complaint (or parts of it) by writing to us within 14 days of the decision. A different <u>reviewer</u> will reconsider the decision.

11. The review

- 11.1. When we have decided that the complaint is one which we can review, we will decide how to conduct the review and whether we need any more information.
- 11.2. We will review the complaint by looking at the documents which the student and the higher education provider have sent us. We will only hold a <u>hearing</u> or have a face-to-face <u>meeting</u> with the student and/or the higher education provider if we consider it is necessary to do so.
- 11.3. Where appropriate, we may at any time look for a way to <u>settle</u> the complaint which both the student and the higher education provider agree to. This may include referring the complaint to a <u>mediator</u> if the student and the higher education provider agree. The student and the higher education provider will be given time to consider the proposed settlement before deciding whether to accept it.

12. Gathering information for a review

- 12.1. When we have decided that a complaint is one which we can review we will always:
 - 12.1.1. Give the higher education provider the opportunity to comment on the Complaint Form and any accompanying documents;
 - 12.1.2. Give the student the opportunity to respond to the higher education provider's comments on the complaint.
- 12.2. We may ask the student and/or the higher education provider to answer specific questions and/or provide additional information or documents.
- 12.3. We will normally give the student and the higher education provider the opportunity to comment on information received during the review where it is relevant to our decision.
- 12.4. The student and the higher education provider must respond to any requests for information we make during our review.
- 12.5. When we ask the student or the higher education provider for information or comments we will set a <u>deadline</u> for the response. The deadline will normally be 14 or 28 days but it may be shorter or longer depending on what we are asking for. We may grant an <u>extension</u> to the deadline where we consider it is appropriate to do so.
- 12.6. If the higher education provider <u>does not provide information requested</u> during the course of our review, or does not provide it within the time limits set, the Independent Adjudicator may report it to the Board, and may publicise it in the Annual Report and/or by other means.
- 12.7. We will tell the student and the higher education provider when we have received <u>all of the</u> <u>information relevant to the complaint</u>.

13. The Complaint Outcome

- 13.1. When we decide that we have all the information and evidence that we need to make a decision we will prepare and issue a <u>Complaint Outcome</u>.
- 13.2. We will send the <u>Complaint Outcome</u> to the student and the higher education provider as soon as we reasonably can. This will normally be within 90 days of deciding that we have received all the information relevant to the complaint. We may extend the 90 day period if we decide that the complaint is <u>highly complex</u>. If we do, we will write to the student and the higher education provider to explain that and to tell them when we expect to conclude the review.
- 13.3. The Complaint Outcome will set out our decision that the complaint is <u>Justified or Partly Justified</u>, <u>or Not Justified</u>, and the reasons for the decision.
- 13.4. In making our decision about the complaint we may consider whether or not the higher education provider properly applied its regulations and followed its procedures, and whether or not the higher education provider's decision was reasonable.
- 13.5. We may also issue a Complaint Outcome to record the details of a settlement or mediation, or to confirm that a complaint has been withdrawn or terminated.

14. Recommendations and Suggestions

- 14.1. When we decide that a complaint is Justified or Partly Justified we may make a <u>Recommendation</u> or Recommendations that the higher education provider should follow.
- 14.2. The Recommendations we make may be different from an outcome that a Court might reach applying legal rules.
- 14.3. Some examples of the sort of Recommendation we might make are:
 - 14.3.1. that the complaint should be referred back to the higher education provider for it to consider again, because it has not properly followed its internal procedures and the outcome has or might have been seriously affected as a result;

- 14.3.2. that the higher education provider should take a course of action that we consider is fair in the circumstances;
- 14.3.3. that the higher education provider should change the way it handles complaints;
- 14.3.4. that the higher education provider should change its internal procedures or regulations;
- 14.3.5. that the higher education provider should make a payment to the student which may include compensation for distress and inconvenience;
- 14.3.6. that the higher education provider should apologise to the student for what has gone wrong;
- 14.3.7. that the complaint should be considered in <u>another forum</u>.
- 14.4. Where we intend to make Recommendations we will send a copy of the proposed Recommendations, together with our reasons for proposing them, to the student and the higher education provider with the Complaint Outcome.
- 14.5. The student and the higher education provider may comment on the proposed Recommendations and will have 14 days to do so. We may <u>extend the time for commenting on the proposed</u> <u>Recommendations</u> where we consider it is appropriate to do so.
- 14.6. Once the time limit for commenting on the proposed Recommendations has passed and we have considered any comments we have received, we will tell the student and the higher education provider that we have confirmed the Recommendations, or set out our revised Recommendations.
- 14.7. We expect the higher education provider to comply with any Recommendations we make in full and within the time limits we set, and to report to us when it has done so.
- 14.8. The student may choose whether or not to accept the Recommendations.
- 14.9. If the higher education provider makes an offer to the student in line with our Recommendations and the student accepts the offer in full and final settlement of their complaint, the student will not normally be able to pursue legal proceedings about the same issues.
- 14.10. If the Independent Adjudicator decides that the higher education provider has <u>not complied with</u> <u>our Recommendations</u> they will report it to the Board and will publish information about it in the Annual Report and/or by other means.
- 14.11. We may make <u>suggestions</u> in any Complaint Outcome that the higher education provider should consider taking a course of action or amending its internal procedures or regulations.

15. Conclusion of the review

- 15.1. The review process is completed:
 - 15.1.1. When we decide that we cannot look at a complaint under Rule [10.5] or that decision is confirmed following a reconsideration under Rule [10.6];
 - 15.1.2. When we decide to terminate a complaint under Rule [16.1], or that decision is confirmed following a reconsideration under [16.3];
 - 15.1.3. When the student and the higher education provider confirm their agreement to a settlement;
 - 15.1.4. When the Complaint Outcome is issued under Rule [13.1] if no Recommendations are made; or
 - 15.1.5. When we tell the student and the higher education provider that our Recommendations are confirmed or set out revised Recommendations under Rule [14.6].
- 15.2. We may reopen or continue with a review and issue a revised Complaint Outcome and/or revised Recommendations, if we decide there is good reason to do so because:
 - 15.2.1. we receive <u>new evidence</u> which is could make a difference to the outcome of the review, and which the student or higher education provider could not reasonably have given to us at an earlier date; or

- 15.2.2. we have reason to believe that there might be <u>an error in the Complaint</u> Outcome which has or might have seriously affected the outcome of the review.
- 15.3. Any request to reopen the review must normally be made within 28 days of the date of the Complaint Outcome, or the date we confirm our Recommendations where we have made Recommendations.

16. Suspension, Termination and Withdrawal

- 16.1. We may terminate or suspend a review if we decide that:
 - 16.1.1. Information obtained during the review indicates that the complaint falls within Rule [7];
 - 16.1.2. The higher education provider has satisfactorily dealt with the complaint;
 - 16.1.3. The higher education provider has made a <u>reasonable offer to settle</u> the complaint and the student has refused it. In those circumstances, we may require the higher education provider to repeat the offer to the student and to hold it open for a reasonable period of time;
 - 16.1.4. the student has not complied with time limits we have set, or has unreasonably delayed during our review;
 - 16.1.5. the student has acted aggressively, or offensively, or abusively, or unreasonably persistently, or has made unreasonable demands during our review;
 - 16.1.6. the student has tried to mislead us or the higher education provider about their complaint;
 - 16.1.7. the student can no longer be contacted; or
 - 16.1.8. (suspension only) there are other good reasons for doing so.
- 16.2. If the student has appointed a <u>Representative</u>, we may suspend our review and/or refuse to permit that Representative to act for the student if we decide that:
 - 16.2.1. The Representative is not acting in the best interests of the student;
 - 16.2.2. The Representative has tried to mislead us and/or the student;
 - 16.2.3. The Representative has not complied with time limits we have set, or has unreasonably delayed during our review;
 - 16.2.4. The Representative has acted aggressively, or offensively, or abusively, or unreasonably persistently, or has made unreasonable demands during our review;
 - 16.2.5. The Representative has been misled by the student.
- 16.3. If we decide to suspend or terminate our review, the student may ask us to reconsider <u>our decision</u> by writing to us within 14 days of the decision. A different reviewer will reconsider the decision.
- 16.4. The student may <u>withdraw</u> their complaint at any stage during the course of the review.

17. Charges and fees

- 17.1. We will not charge any fee to a student for reviewing their complaint.
- 17.2. Higher education providers must pay us an <u>annual subscription</u> which may include a <u>case related</u> <u>element</u> based on <u>a published scale</u>. The subscription and case related element is determined by the <u>Board</u>.

18. Members which have applied to join the OIA

18.1. We may publish <u>additional Rules</u> which will apply to higher <u>education providers which are not</u> <u>gualifying institutions and which have joined our complaints scheme.</u>

Role of the Board and Independent Adjudicator

19. The Role of the Board

- 19.1. The role of the Board in relation to these Rules is to:
 - 19.1.1. preserve the independence of the scheme and the role of the Independent Adjudicator.
 - 19.1.2. review, and where appropriate, amend these Rules.
 - 19.1.3. consider how, to deal with non-compliance by a higher education provider which is reported to it under Rule [14.10].
- 19.2. The Board will not be involved in the review and/or determination of individual complaints.

20. The Role of the Independent Adjudicator

- 20.1. The Independent Adjudicator is appointed by and responsible to the Board.
- 20.2. The Independent Adjudicator will act independently of the Board, higher education providers and students in reviewing and deciding any complaints under these Rules.

21. Publishing and sharing information:

- 21.1. We will prepare and publish each year an <u>Annual Report</u> which will include information about:
 - 21.1.1. the complaints received;
 - 21.1.2. the Complaint Outcomes issued and Recommendations made;
 - 21.1.3. the extent to which Recommendations made have been followed (with information about higher education providers which have not complied with a Recommendation);
 - 21.1.4. how we have used income from annual subscriptions and the case related element.
- 21.2. We may publish, at our discretion, summaries of complaints we have received and considered where:
 - 21.2.1. in the opinion of the Independent Adjudicator it is in the Public Interest to publish; and
 - 21.2.2. the review process is complete.

The published summaries may identify the higher education provider concerned but will not identify the student.

- 21.3. We will issue <u>guidance</u> about the timing and content of any publication under Rule [21.2], where and how it will be published, and the opportunity for a higher education provider to make comments on the proposed publication.
- 21.4. We may publish summaries of complaints in anonymised form.
- 21.5. We may publish any statistical or other information that we consider relevant and proportionate.
- 21.6. We expect higher education providers to compile and send to us any relevant information which we request in order to help us to carry out our work.
- 21.7. We may hold discussions with other appropriate bodies or individuals with a shared

interest in complaints in higher education. Where appropriate, this may include making formal agreements to exchange information.

- 21.8. Where we decide that a body has a relevant right or interest in receiving information about the operation of the scheme, we may provide that information. This may include information about complaints received and/or reviewed; Complaint Outcomes issued and Recommendations made; matters of compliance or non-compliance. In particular we will co-operate with bodies engaged in regulating, financing or supervising standards within the higher education sector in any part of the United Kingdom.
- 21.9. The information published or provided under Rule [21] will, in relation to personal data, comply with applicable data protection legislation.
- 21.10. We may publish guidance:
 - 21.10.1. in relation to these Rules,
 - 21.10.2. in order to promote the scheme,
 - 21.10.3. in order to promote good practice, and
 - 21.10.4. on other matters we considers appropriate, consistent with the OIA's purpose.

Amendment and governing law

22. Amendments to the Rules

These Rules may be amended from time to time in accordance with Rule [19]

23. Law

These Rules shall be governed by and interpreted according to the law of England and Wales.



office of the independent adjudicator

CONSULTATION RESPONSE FORM

How to respond

Please save this form to your computer. Complete it and then email it to:

consultation@oiahe.org.uk

OR

Fill in the response online at

https://www.surveymonkey.co.uk/r/Rules18

Please respond by: Wednesday 28 February 2018

The consultation questions are shown on individual pages overleaf. You will need to read both the consultation and the draft Rules before responding.

Name:		
I am replying on behalf of:]	
My HE Provider (please provide name of HE Provider)		
My students' union (please provide name of students' union)		
Myself		
Other organisation:		

SECTION 1: Changes resulting from the Higher Education and Research Act 2017

Consultation Question

Are the new Rules in this Section clear? Please make any comments

SECTION 2: Changes to improve the clarity of the Rules and the Scheme processes

Consultation Question

Do you agree with these changes? Are the new Rules in this Section clear? Please make any comments **SECTION 3:** General Comments

Consultation Question

Do you have any other comments on the proposed new Rules?